

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/896,380 | 06/29/2001 | Gary L. Graunke | 42390.P11153 |

CONFIRMATION NO. 9543

FORMALITIES LETTER



OC000000006451832

Gordon R. Lindeen III
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Boulevard, Seventh Floor
Los Angeles, CA 90025-1026

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Repln. Ref: 10/16/2001 TV0111 0015010700
DAH: 022666 Name/Number: 09896380
FC: 704 \$130.00 CR

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

Adjustment date: 10/16/2001 TV0111
09/12/2001 HVUONG1 00000018 09896380
01 FC:105

~~130.00 OP~~

*A copy of this notice **MUST** be returned with the reply.*

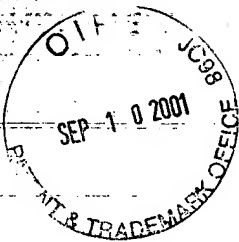
H.T.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/12/2001 HVUONG1 00000018 09896380

~~01 FC:105~~~~130.00 OP~~



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BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

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JUL 19 2001

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP
DENVER

Serial/Patent No.: _____ Filing/Issue Date: Concurrently Herewith
Client: Intel Corporation
Title: Method and Apparatus for Simultaneous Encryption and Decryption of Publicly Distributed Media
BSTZ File No.: 42390.P11153 Atty/Secty Initial: GRL/amu
Date Mailed: June 29, 2001 Docket Due Date: _____
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:
☐ Amendment/Response (____ pgs.)
☐ Appeal Brief (____ pgs.) (in triplicate)
☒ Application - Utility (25 pgs., with cover and abstract)
☐ Application - Rule 1.53(b) Continuation (____ pgs.)
☐ Application - Rule 1.53(b) Divisional (____ pgs.)
☐ Application - Rule 1.53(b) CIP (____ pgs.)
☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
☐ Application - Design (____ pgs.)
☐ Application - PCT (____ pgs.)
☐ Application - Provisional (____ pgs.)
☒ Assignment and Cover Sheet
☒ Certificate of Mailing
☒ Declaration & POA (6 pgs.) Signed
☐ Disclosure Docs & Orig & Copy of Invention Signed Later (____ pgs.)
☒ Drawings: 4 # of sheets includes 4 figures
☐ Express Mail No.: E80736686408 Check No.: 11640
☐ Month(s) Extension of Time Amt: 768.00
☐ Information Disclosure Statement & PTO 140 (4 pgs.)
☐ Issue Fee Transmittal "in duplicate" Amt: _____
☐ Notice of Appeal
☐ Petition for Extension of Time
☐ Petition for _____
☐ Postcard
☐ Power of Attorney (____ pgs.)
☐ Preliminary Amendment (____ pgs.)
☐ Reply Brief (____ pgs.)
☐ Response to Notice of Missing Parts
☐ Small Entity Declaration for Indep. Inventor/Small Business
☐ Transmittal Letter, in duplicate
☐ Fee Transmittal, in duplicate
Other: 2 cited references, Express mail Certificate
JC986 U.S. PTO
09/896380
06/29/01

Due date 9/29/2001 Client Name Intel Corporation

Docket Initial mu 42390.P11153

Docket Sup. Initial mu GRL

Atty/Initial _____

Att/Ser/Reg 896,380

19

Description

month deadline to file prior art disclosure and check related cases
(based on filed US application).

7/2001

Natalie Adair

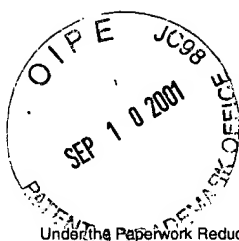
ENTERED

JUL 17 2001

STATUS DB-LA

Due date 12/29/2002 Client Name Intel Corporation

Docket Initial mu 42390.P11153
Docket Sup. Initial mu



FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$130.00

Complete if Known:

Application No. 09/896,380
Filing Date June 29, 2001
First Named Inventor Graunke, G.
Group Art Unit Not yet assigned
Examiner Name Not yet assigned
Attorney Docket No. 42390P11153

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name _____

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

FIRST CLASS CERTIFICATE OF MAILING
(37 C.F.R. § 1.8 (a))

2. ☒ Payment Enclosed: ☒ Check
Credit Card
Money Order
Other

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D.C.

on September 4, 2001

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | |
|--------------|----------|--------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) |
| 101 | 710 | 201 | 355 |
| 106 | 320 | 206 | 160 |
| 107 | 490 | 207 | 245 |
| 108 | 710 | 208 | 355 |
| 114 | 150 | 214 | 75 |

Fee Description
Utility application filing fee
Design application filing fee
Plant filing fee
Reissue filing fee
Provisional application filing fee

Fee Paid

SUBTOTAL (1) \$0.00

2. EXTRA CLAIM FEES

| | | Extra Claims | Fee from below | Fee Paid |
|--------------------|-----------|--------------|----------------|----------|
| Total Claims | <u>20</u> | - 20** = | X 18.00 | = 0.00 |
| Independent Claims | <u>3</u> | - 3** = | X 80.00 | = 0.00 |
| Multiple Dependent | | | | |

**Or number previously paid, if greater; For Reissues, see below.

| Large Entity | | Small Entity | |
|--------------|----------|--------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) |
| 103 | 18 | 203 | 9 |
| 102 | 80 | 202 | 40 |
| 104 | 270 | 204 | 135 |
| 109 | 80 | 209 | 40 |
| 110 | 18 | 210 | 9 |

Fee Description
Claims in excess of 20
Independent claims in excess of 3
Multiple dependent claim, if not paid
**Reissue independent claims over original patent
**Reissue claims in excess of 20 and over original patent


SUBTOTAL (2) \$ 0.00

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u> | <u>Fee (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | 130.00 |
| 123 | 130 | 123 | 130 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (see 37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | | | |
| Other fee (specify) _____ | | | | | |

SUBTOTAL (3) \$130.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: Gordon R. Lindeen IIISignature:  Date: 8/14/11Reg. Number: 33,192 Telephone Number: 303-740-1980**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SEP 1 0 2001

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390.P11153

First Named Inventor Graunke, G.

Title:

Express Mail Label No. EL807366864US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)

2. Applicant Claims Small Entity Status. (37 CFR 1.27)

3. X Specification (Total Pages 25)

(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. X Drawings(s) (35 USC 113) (Total Sheets 4)

5. X Oath or Declaration (Total Pages 6)

a. X Newly Executed (Original or Copy)

b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)

i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

c. Unsigned.

6. Application Data Sheet. (37 CFR 1.76)

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. Computer Readable Form (CRF)

b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper

c. Statement verifying identity of above copies

EXPRESS MAIL CERTIFICATE OF MAILING

Express Mail label number: EL807366864US
I hereby certify that I am causing this
deposited with the United States Postal Service
Mail Post Office to Addressee* service on the
above and that this paper or fee has been
Assistant Commissioner for Patents,
20231.

June 29, 2001
Date of Deposit

April Warley
Name of Person Mailing Correspondence

April Warley
Signature Date 6/29/01

ACCOMPANYING APPLICATION PARTS

9. ☒ **Assignment Papers (cover sheet & documents(s))**
10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- ☒ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ a. Information Disclosure Statement (IDS)/PTO-1449
- ☒ b. Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Express Mail Certificate

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
Of Prior Application No.: _____ Examiner _____ Group Art Unit _____

(which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. _____
which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

_____ Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)

☒ Correspondence Address Below

NAME Gordon R. Lindeen III

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles

STATE California

ZIP CODE 90025-1026

Country U.S.A.

TELEPHONE (303)740-1980

FAX (303)740-6962

Name (PRINT/TYPE): Gordon R. Lindeen III

Registration No.: 33,192

Signature: 

Date: 6/29/11